Therapeutic Use Exemptions (TUE)

THERAPEUTIC USE EXEMPTIONS

Therapeutic Use Exemption (TUE)

Athletes, like all people, may have illnesses or conditions that require them to take a particular medication/substance or undergo certain procedures/methods. For athletes, the substance or method may appear on World Anti-Doping Agency (WADA)'s List of Prohibited Substances and Methods (List). In such instances, they may be granted a TUE, which gives them permission to take a substance or use a method.

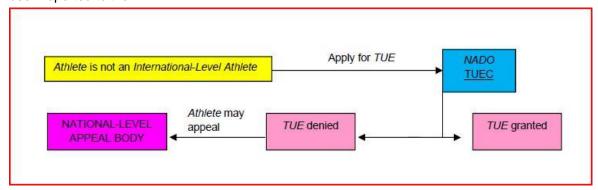
A TUE is granted to an Athlete under narrow, well-defined conditions. The TUE enables the Athlete to take the necessary medication while competing in sport Events, without resulting in a doping offence.

TUEs are only granted by National Anti-Doping Organizations (NADOs), International Federations (IFs) and Major Event Organizers (MEOs)- following a robust review process that is defined in the <u>International Standard for TUE (ISTUE)</u>. In order to obtain an approval for a TUE, athletes must have a well-documented medical condition supported by reliable and relevant medical data.

Where to Apply:

National-Level athletes

National-Level athletes and athletes participating in National Events shall obtain a TUE from NADA, unless they have previously received a TUE from an IF and such TUE is still valid and its granting has been reported to the NADA.



International-Level Athletes

Athletes included by an IF in its Registered Testing Pool and other athletes prior to their participation in any International Event must obtain a TUE from their relevant. An IF can recognize a TUE delivered by NADA under its own authority. For more information on recognition, see ISTUE Article 7.

Athletes who already have a TUE at the national level but are participating in an International Event and do not already have a TUE registered with their IF must request a TUE from the IF no later than

30 days before the athlete's participation at an International Event. Athletes may be required by a MEO to apply for a TUE if the Athlete wants to Use a Prohibited Substance or Method in connection with the Event.

TUE Procedure if Athlete is an International-Level Athlete and is subject to the International Federation's (IF's) TUE requirements when need for TUE arises. Does Athlete have a TUE already granted at national level? Is TUE in a category of TUE decisions that are automatically recognized by IF? Apply for TUE Submit TUE for recognition IF TUEC TUE not TUE granted TUE not TUE recognized recognized No further action NADO may refer WADA may agree to Athlete and/or NADO may refer the nonthe grant to Athlete request to recognition to WADA WADA review decision not to grant TUE WADA TUEC IF decision upheld IF decision reversed Athlete and/or NADO IF may appeal Athlete may appeal may appeal

TUE Process for International Level Athletes:

Major Event Organizers

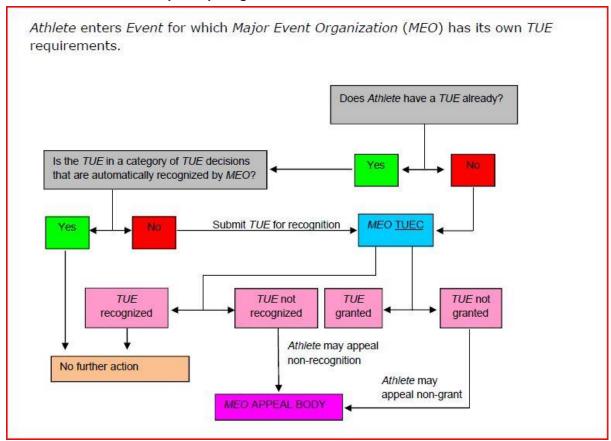
A Major Event Organization may require Athletes to apply to it for aTUE if they wish to Use a Prohibited Substance or a Prohibited Method in connection with the Event. In that case:

The MEO ensures a process is available for an athlete to apply for a TUE if :-

- o He/she doesn't already have one.
- If the TUE is granted, it is effective for its event only.

 A decision by a MEOs not to recognize or not to grant a TUE may be appealed by the Athlete exclusively to an independent body established or appointed by the Major Event Organization for that purpose.

TUE Process for athletes participating in event of MEO.



Start of medical treatment

If the TUE is approved, the athlete can only begin treatment and or sports practice after the reception of the authorization notice from NADA. (In rare emergency or exceptional cases, a retroactive approval may be considered). Athletes should not start using the substance before receiving the authorization notice from NADA. The athlete would then be using a prohibited method or substance without authorization and this could constitute an anti doping rule violation in the event that the TUE is denied by the TUEC.

Criteria for granting a TUE:

An Athlete may be granted a TUE if (and only if) he/she can show, by a balance of probability, that each of the following conditions is met:

a. The Prohibited Substance or Prohibited Method in question is needed to treat an acute or chronic medical condition, such that the Athlete would experience a significant impairment to health if the Prohibited Substance or Prohibited Method were to be withheld (ISTUE Article 4.1(a).

- b. The Therapeutic Use of the Prohibited Substance or Prohibited Method is highly unlikely to produce any additional enhancement of performance beyond what might be anticipated by a return to the Athlete's normal state of health following the treatment of the acute or chronic medical condition.
- c. There is no reasonable Therapeutic alternative to the Use of the Prohibited Substance or Prohibited Method.
- d. The necessity for the Use of the Prohibited Substance or Prohibited Method is not due, wholly or in part, to prior Use (without a TUE) of a substance or method that was prohibited at the time of such Use.

A TUE can only be granted if (and only if) all four criteria are fulfilled.

WADA documents titled "Medical Information to Support the Decisions of TUECs" (TUE Physician Guidelines) assist physicians and ADOs in applying these criteria to particular medical conditions. These documents are available on NADA's Web site as per link given below

"Medical Information to Support the Decisions of TUECs"[MM1]

TUE Form

Applications for standard TUEs need to be submitted to NADA on the appropriate standard TUE form. The form may be downloaded from the below link:-

Therapeutic Use Exemptions Form (TUE Form)

Time Frame for TUE submission

A TUE for any Substances and Methods that are prohibited In-competition, has to be submitted by the athlete no less than 30 days before participating in an Event. In order to make sure that the Athlete has the TUE before participating in a competition all Athletes are strongly advised to send in their applications at least 30 days before participating in an event as the TUECs have 21 days to render their decision.

For substances, which are prohibited In-Competition as well as out-of-competition, the TUE application has to be submitted as soon as the medical condition requiring the use of prohibited Substances and/or Methods has been diagnosed.

Retroactive TUEs

There are some situations when retroactive approval can be accepted. Even if a potential retroactive case is given consideration, this in no way guarantees that the TUE will be accepted. The evaluation procedure is the same as for a normal TUE request. The request will be considered by the relevant TUEC who will then render its decision.

The following situations may lead to a retroactive approval:

- a. Emergency treatment or treatment of an acute medical condition was necessary; or
- b. Due to other exceptional circumstances, there was insufficient time or opportunity for the *Athlete* to submit, or for the TUEC to consider, an application for the *TUE* prior to *Sample* collection; or

- c. The applicable rules required the Athlete or permitted the Athlete to apply for a retroactive TUE. This is applicable to Persons who are not International-Level or National-Level Athletes and (where the relevant NADO so chooses) to National-Level Athletes in sports specified by the relevant
- d. It is agreed, by *WADA* and by the *Anti-Doping Organization* to whom the application for a retroactive *TUE* is or would be made, that fairness requires the grant of a retroactive *TUE*.

TUE Application Requirements

The following supporting documents must be attached to the completed *TUE* application form:

- **a)** A statement by an appropriately qualified physician, attesting to the *Athlete's* diagnosis and need to *Use* the *Prohibited Substance* or *Prohibited Method* in question for Therapeutic reasons.
- **b)** A comprehensive medical history, including documentation from the original diagnosing physician(s) (where possible) and the results of all examinations, Laboratory investigations and imaging studies relevant to the application.
- Note: Athletes should keep a complete copy of the TUE application form, and of all materials and
 information submitted in support of that application.
- o Incomplete applications will be returned to the Athlete for completion and re-submission.

Approval procedure

TUE application will be reviewed by the Therapeutic Use Exemption Committee (TUEC) of NADA. The athlete will be notified of the decision taken by the TUEC. Decisions of the TUEC, will be completed within 21 days of receipt of all relevant documentation, and will be conveyed in writing to the Athlete by NADA.

TUEs Duration

The TUEC assigns each TUE a start and end date, upon which the TUE expires automatically. If the Athlete needs to continue to Use the Prohibited Substance or Prohibited Method after the specified end date, he/she must apply for a new TUE well in advance, to allow sufficient time for a decision to be made on the application before the existing TUE expires.

Renewing a TUE

TUEs cannot be renewed without a new medical consultation and confirmation thereof. This means the athlete must fill in a new request signed by his or her Physician upon expiration. Even in the case of chronic use, a TUE can only be granted for a limited period of time. The Physician provide recommended treatment.

Automatic continuation is not acceptable in any case. The Physician must see the athlete on a regular basis and evaluate if the medical condition has changed and if the treatment is still appropriate for the stated medical condition.

TUE Review

If NADA denies an application for a TUE, the Athlete may appeal exclusively to the national level appeal body described in Articles 13.2.2 and 13.2.3 of NADA Anti Doping Rules 2015.

If WADA determines that the granting or denial of a TUE did not comply with the International Standard for TUEs in force at the time, then WADA may reverse that decision and the TUE shall be cancelled. In the event of a reversal, WADA will advise all concerned parties.

WADA shall review any decision by an International Federation not to recognize a *TUE* granted by NADA that is referred to *WADA* by the *Athlete* or NADA. In addition, *WADA* shall review any decision by an International Federation to grant a *TUE* that is referred to *WADA* by NADA. *WADA* may review any other *TUE* decisions at any time, whether upon request by those affected or on its own initiative. If the *TUE* decision being reviewed meets the criteria set out in the International Standard for Therapeutic Use Exemptions, *WADA* will not interfere with it. If the *TUE* decision does not meet those criteria, *WADA* will reverse it.

Page last modified on: Apr 13, 2020